Appendix "A" – Act 44 Disclosure Form

List of Municipal Officials for the Requesting Municipality

Certain requests for information in this form will refer to a "List of Municipal Officials." To assist you in preparing your answers, you should consider the following names to be a complete list of pension system and municipal officials and employees. Throughout this Disclosure Form, the below names will be referred to as the "List of Municipal Officials."

Elected Officials:
1) Kim McGrath, Chair, Board of Supervisors

2) David Hackett, Vice Chair, Board of Supervisors

3) Paul Weller, Board of Supervisors

4) Terry Jones, Board of Supervisors

5) Kevin Keifrider, Board of Supervisors

Appointed Officials:
6) Troy S. Bingaman, Township Manager, Treasurer & CAO

7) Kathie A. Benson, HR Administrator

8) Pamela L. Kisch, Township Secretary

9) Brian F. Boland, Solicitor

Identification of Consultants & Related Personnel

CONSULTANTS: (See "Definitions" – page 2) Any entity who currently provides service(s) or is submitting a proposal to provide services by means of a Professional Services Contract to the Municipal Pension System(s) of the Requesting Municipality, please complete all of the following:

Identify the Municipal Pension System(s) for which you are providing information:

Indicate all that apply with an "X":

- Non-Uniformed Employee Plan: X
- Police Plan: X

**NOTE: For all that follow, you may answer the questions/items on a separate sheet of paper and attach it to this Disclosure if the space provided is not sufficient. Please reference each question/item you are responding to by the appropriate number. (example: REF – Item #1.)

1. **Please provide the names and titles of all individuals providing or prospectively providing professional services to the Requesting Municipality's pension plan(s) identified above. Also include the names and titles of any advisors and sub consultants of the Consultant who provide or may provide professional services related to the Requesting Municipality's pension plan(s) identified above, identifying them as such. After each name provide a description of the responsibilities of that person with regard to the professional services being provided or to be provided for each designated pension plan.**

The two individuals below are your primary sources of contact at Principal Trust Company. Please note, we reserve the right to change these two people at any point we deem necessary to appropriately serve the Amity Township Police and Municipal Employees Pension Plans.

Kim Aspenleider, Relationship Manager
Kim is the primary contact for your plan and acts as the primary strategic contact. She coordinates client service delivery and works to provide ongoing quality service and client satisfaction. She also conducts annual relationship reviews. Kim is supported by a specialized Trust and Custody service team, and each client has a dedicated client service specialist who coordinates all aspects of daily account activity, including cash movements, disbursements, inquiries, risk/compliance requirements and reports.

Cheryl Hart, Client Portfolio Manager
Cheryl Hart manages institutional trust portfolios and provides investment consultation services to retirement plan sponsors, foundations and endowments. In this role, she is responsible for delivery of investment services to clients, including fund array analysis and selection, portfolio management and asset allocation, performance monitoring, client investment review and presentations.

Mike Gaul, CEO of Principal Trust Company and Vice President and Head of Principal Custody Solutions, is authorized on behalf of Principal Trust Company to complete this form and sign on behalf of Principal Trust Company.

Mike Gaul
CEO Principal Trust Company
Vice President, Head of Principal Custody Solutions
Principal®

Mike Gaul is CEO of Principal Trust Company, which provides directed trust and custodial services to thousands of Principal retirement clients. He is also Vice President and Head of Principal Custody Solutions, where he leads Principal's trust and custody business. Gaul has been CEO of the trust company since 2017 and Head of the custody business since 2022.

Since joining the company, Gaul has served in several leadership positions domestically and internationally for defined benefit, employee stock ownership plans, and large market defined contribution retirement clients.

Mike graduated with a degree in Finance and German from Iowa State University Ivy College of Business. Mike volunteers at Empower Tanzania, a non-profit organization. He currently serves as the organization's President and Board Chair.

2. **Please list the name and title of any Affiliated Entity and their Executive-level Employee(s) that require disclosure; after each name, include a brief description of their duties. (See: Definitions)**

Not applicable.

3. **Are any of the individuals named in Item 1 or Item 2 above, a current or former official or employee of the Requesting Municipality?**

The individuals listed above are not current or former officials or employees of Amity Township Police and Municipal Employees Pension Plans.

IF "YES", provide the name and of the person employed, their position with the municipality, and dates of employment.

Not applicable.

4. **Are any of the individuals named in Item 1 or Item 2 above a current or former registered Federal or State lobbyist?**

The individuals listed above have not been a registered Federal or Pennsylvania State lobbyist.

IF "YES", provide the name of the individual, specify whether they are a state or federal lobbyist, and the date of their most recent registration /renewal.

Not applicable.

NOTICE: All information provided for items 1- 4 above must be updated as changes occur.

Confirmed.

5. **In the two year period immediately preceding the submission of this Disclosure Form, has the Consultant or an Affiliated Entity paid compensation to or employed any third party intermediary, agent, or lobbyist that is to directly or indirectly communicate with an official or employee of the Municipal Pension System of the Requesting Municipality (OR), any municipal official or employee of the Requesting Municipality in connection with any transaction or investment involving the Consultant and the Municipal Pension System of the Requesting Municipality?**

This question does not apply to an officer or employee of the Consultant who is acting within the scope of the firm's standard professional duties on behalf of the firm, including the actual provision of legal, accounting, engineering, real estate, or other professional advice, services, or assistance pursuant to the professional services contact with municipality's pension system.

No. Not to our knowledge.

IF "YES", identify: (1) whom (the third party intermediary, agent, or lobbyist) was paid the compensation or employed by the Consultant or Affiliated Entity, (2) their specific duties to directly or indirectly communicate with an official or employee of the Municipal Pension System of the Requesting Municipality (OR), any municipal official or employee of the Requesting Municipality, (3) the official they communicated with, and (4) the dates of this service.

Not applicable.

6. **In the two-year period immediately preceding the submission of this Disclosure Form, has the Consultant or Affiliated Entity, or any agent, officer, director or employee of the Consultant, or an Affiliated Entity solicited any contribution to any municipal officer or candidate for municipal office in the Requesting Municipality, or to the political party or political action committee of that official or candidate?**

No. Not to our knowledge.

IF "YES", identify the agent, officer, director or employee of who solicited the contribution and the municipal officials, candidates, political party or political committee to whom such contribution was solicited.

Not applicable.

7. **In the two-year period immediately preceding the submission of this Disclosure Form, has the Consultant or an Affiliated Entity made any contributions to a municipal official or any candidate for municipal office in the Requesting Municipality?**

No. Not to our knowledge.

IF "YES", provide the name and address of the person(s) making the contribution, the contributor's relationship to the Consultant, the name and office or position of the person receiving the contribution, the date of the contribution, and the amount of the contribution.

Not applicable.

8. **Does the Consultant or an Affiliated Entity have any direct financial, commercial or business relationship (other than the contract to provide professional services to the pension plan(s) of the Requesting Municipality) with any official identified on the List of Municipal Officials, of the Requesting Municipality?**

No. Not to our knowledge.

IF "YES", identify the individual with whom the relationship exists and give a detailed description of that relationship.

****NOTE: A written letter is required from the Requesting Municipality acknowledging the relationship and consenting to its existence. The letter must be attached to this disclosure. Contact the Requesting Municipality to obtain this letter and attach it to this disclosure before submission.**

Not applicable.

9. **Has the Consultant or an Affiliated Entity given any gifts having more than a nominal value to any official, employee or fiduciary – specifically, those on the List of Municipal Officials of the Requesting Municipality?**

No. Not to our knowledge.

IF "YES", Provide the name of the person conferring the gift, the person receiving the gift, the office or position of the person receiving the gift, specify what the gift was, and the date conferred.

Not applicable.

10. **Disclosure of contributions to any political entity in the Commonwealth of Pennsylvania Applicability: A "yes" response is required, and full disclosure is required ONLY WHEN ALL of the following applies:**

    a. **The contribution was made within the last 5 years.**
    b. **The contribution was made by an officer, director, executive-level employee or owner of at least 5% of the Consultant or Affiliated Entity.**
    c. **The amount of the contribution was at least $500 and in the form of:**
        1. **A single contribution by a person in (b) above, OR**
        2. **The aggregate of all contributions by all persons in (b) above;**
    d. **The contribution was for**
        1. **Any candidate for any public office or any person who holds an office in the Commonwealth of Pennsylvania;**
        2. **The political committee of a candidate for public office or any person that holds an office in the Commonwealth of Pennsylvania.**

IF "YES", provide the name and address of the person(s) making the contribution, the contributor's relationship to the Consultant or Affiliated Entity, The name and office or position of the person receiving the contribution (or the political entity / party receiving the contribution), the date of the contribution, and the amount of the contribution.

Not applicable.

11. **With respect to your provision of professional services to the Municipal Pension plan(s) of the Requesting Municipality:**

Are you aware of any apparent, potential or actual conflicts of interest with respect to any officer, director or employee of the Consultant and officials or employees of the Requesting Municipality?

NOTE: If, in the future, you become aware of any apparent, potential, or actual conflict of interest, you are expected to update this Disclosure Form immediately in writing by:

- **Providing a brief synopsis of the conflict of interest (and);**
- **An explanation of the steps taken to address this apparent, potential, or actual conflict of interest.**

No. Not to our knowledge.

IF "YES", Provide a detailed explanation of the circumstances which provide you with a basis to conclude that an apparent, potential, or actual conflict of interest may exist.

Not applicable.

12. **To the extent that you believe that Chapter 7-A of Act 44 of 2009 requires you to disclose any additional information beyond what has been requested above, please provide that information below or on a separate piece of paper.**

Not applicable.

Please provide the name(s) and position(s) of the person(s) participating in the completion of this Disclosure. One of the individuals identified by the Consultant in Item #1 above must participate in completing this Disclosure and must sign the below verification attesting to the participation of those individuals named below.

Name: Mike Gaul

Position: CEO - Principal Trust Company, Vice President and Head of Principal Custody Solutions

Signature

CEO - Principal Trust Company, Vice President and Head of Principal Custody Solutions
Title

September 9, 2025
Date

Verification

I, Mike Gaul, hereby state that I am CEO for the Principal Trust Company and Vice President and Head of Principal Custody Solutions, and I am authorized to make this verification.

I hereby verify that the facts set forth in the foregoing Act 44 Disclosure Form for Individuals/Entities Submitting Proposals for Professional Pension Services to Amity Township are true and correct to the best of my knowledge, information and belief.

I also understand that knowingly making material misstatements or omissions in this form could subject the responding Consultant to the penalties in Section 705-A(e) of Act 44.

I understand that false statements herein are made subject to the penalties of 18 P.A.C.S. § 4904 relating to unsworn falsification to authorities.

Signature

September 9, 2025

Date

Disclosures

Principal Custody Solutions

Data is as of June 30, 2025 unless otherwise noted.

The information contained in this Request for Proposal (RFP) response is accurate and complete, to the best of our current knowledge, belief and information, as of the date it was created. We reserve the right to change our procedures, services offered, and pricing. We are not under any obligation to alter or update the information contained in this RFP to reflect any subsequent changes to our procedures, services offered, pricing, and any other information provided in this RFP response. Upon your decision to elect us as your service provider, we will provide a service agreement, fee agreement, and other appropriate documentation for your review and signature, which will more fully describe the services being performed and the costs of those services.

Given the dynamic nature of the RFP process, many of the questions and exhibits are exploratory in nature and do not adequately address the full scope of services delivered. Therefore, upon request, can provide a copy of our standard agreement that describes the services we offer.

The subject matter in this RFP is educational only and provided with the understanding that Principal Custody Solutions is not rendering legal, accounting, investment or tax advice. You should consult with appropriate counsel, financial professionals, or other advisors on all matters pertaining to legal, tax, investment or accounting obligations and requirements.

Pricing is valid as quoted in the Fee Proposal. Any other information contained in this RFP response is valid for six months from the date obtained, unless otherwise agreed to by Principal Custody Solutions.

Final acceptance and ultimate approval to provide the services set forth in this document are contingent upon the receipt of all required governing documents and the satisfactory resolution of any document-related, regulatory, or legal issues. Our assumption is that the services and related pricing would be integrated into a mutually agreeable contract, with such additional detail as may be necessary for a successful relationship.

Custody and trust services are provided by Principal Bank®, Member FDIC, and/or Principal Trust Company®. These services are provided under the trade name Principal® Custody Solutions. Principal Trust Company is a trade name of Delaware Charter Guarantee & Trust Company.

Principal OCIO Solutions is a specialized investment management group within Principal Asset Management℠. Principal Asset Management leads global asset management at Principal®. Principal Asset Management is a trade name of Principal Global Investors, LLC.

When Principal Custody Solutions is providing investment management services, Principal Custody Solutions serves as the discretionary trustee, but leverages the investment expertise of Principal Global Advisors (a specialized investment management group within Principal Global Investors, LLC). Customers may also contract directly with Principal Global Advisors for investment management services. In those instances, Principal Custody Solutions serves solely in a custodial or trustee capacity. Please refer to your underlying service agreements for details.

Principal Life Insurance Company® employs Artificial Intelligence (AI) Technology in specific aspects of our Request for Proposal (RFP) processes to enhance efficiency and effectiveness. AI Technology assists in generating initial drafts of documents, creating content, and customizing content according to client and prospect submission requirements. Throughout Principal Life Insurance Company's entire RFP, human direction, monitoring, and oversight remain essential, including during the utilization of AI Technology components.

Investment and Insurance products are:

- **Not Insured by the FDIC or Any Federal Government Agency**
- **Not a Deposit or Other Obligation of, or Guaranteed by, Principal Bank or Any Bank Affiliate**
- **Subject to Investment Risks, Including Possible Loss of the Principal Amount Invested**